



Sector

PATENT
Attorney Docket No.: DIVER1440-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark Madden et al. Art Unit: 1632
Application No.: 09/751,299 Examiner: Unassigned
Filed: September 28, 2000
Title: METHODS FOR PRODUCING ENANTIOMERICALLY PURE ALPHA-SUBSTITUTED CARBOXYLIC ACIDS

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed March 16, 2001, enclosed are:

1. A copy of the Notice to File Missing Parts of Application (2 pages);
2. An executed Declaration For Patent Application (3 pages);
3. Power of Attorney by Assignee (2 pages);
4. Check in the amount of \$710.00;
5. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, April 23, 2001, in an envelope addressed to:

Commissioner for Patents, Washington, D.C. 20231.

Decille M. Bernal

Name of Person Mailing Paper

Decille M. Bernal

Signature

4/23/01

Date

In re Application of:
Jay M. Short
Application No.: 09/751,299
Filed: December 28, 2000
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PATENT
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Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

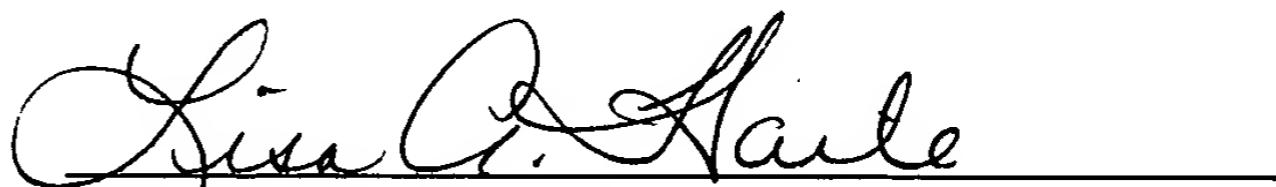
The filing fee has been calculated as follows.

	Number Filed		Number Extra		Rate			Fee	
					Large Entity	Small Entity		Small Entity	Large Entity
Total Claims	30 -20	=	10	X	\$18	\$09	=	\$.00	\$ 90.00
Independent Claims	8 -3	=	5	X	\$80	\$40	=	\$.00	\$ 200.00
Multiple Dependent Claims Presented: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					\$270	\$135			\$ 0.00
							BASIC FEE	\$710.00	\$ 355.00
							TOTAL FEE	\$.00	\$645.00

Enclosed is a check in the total amount of \$710.00; which consists of \$645.00 for the filing fee and 65.00 surcharge fee for missing parts of the application. The Commissioner is hereby authorized to charge any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 4/23/01



Lisa A. Haile, J.D., Ph.D.

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Telephone: (858) 677-1456

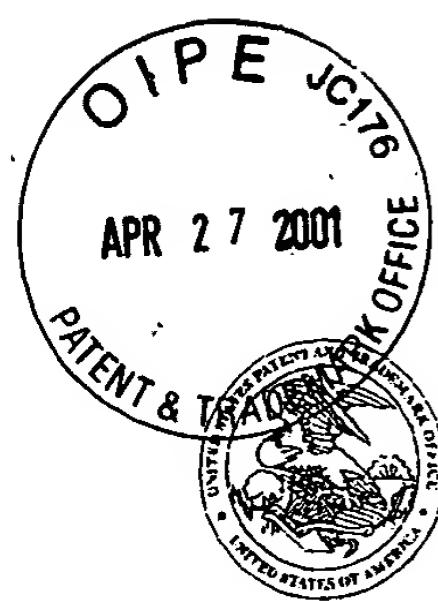
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,299	12/28/2000	Mark Madden	DIVER1440-2

CONFIRMATION NO. 8629

FORMALITIES LETTER



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Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

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- Total additional claim fee(s) for this application is \$290.

■ \$90 for 10 total claims over 20.	01 FC:201	355.00 UP
■ \$200 for 5 independent claims over 3 .	02 FC:205	65.00 UP
	03 FC:203	90.00 UP
	04 FC:202	200.00 UP

- The oath or declaration is unsigned.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- **The balance due by applicant is \$ 710.**

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

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- To Purchas PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspt .gov
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